

"Express Mail" mailing label number EL 677 509 105 US

Date of Deposit 5/29/01

Our File No. 9281-4101

Client Reference No. S US00088

PATENT APPLICATION TRANSMITTAL LETTER

To the Commissioner for Patents:

Transmitted herewith for filing is the patent application of Akiyuki Yoshisato, Kazuhiko Ueda and Yasuhiro Ikarashi for Electronic Circuit Unit Suitable for Miniaturization.

Enclosed are:

- ☐ Preliminary Amendment
- ☒ 32 pages of application (including title page), 8 sheets of formal drawings and the following Appendices: n/a
- ☐ Declaration and Power of Attorney for Patent Application
- ☐ Assignment transmittal letter and Assignment of the invention to: _____
- ☒ Submission of Certified Copies of Priority Documents and certified copies of Japanese Application Nos. 2000-160314 and 2000-160264
- ☒ Information Disclosure Statement, PTO-1449, and references A1-A2

Claims as Filed	Col. 1	Col. 2
For	No. Filed	No. Extra
Basic Fee		
Total Claims	-20	
Indep. Claims	-3	
Multiple Dependent Claims Present		

*If the difference in col. 1 is less than zero, enter "0" in col. 2.

Small Entity	
Rate	Fee
	\$ 355
x\$9=	\$
x\$40=	\$
+\$135=	\$
Total	\$

Other Than Small Entity	
Rate	Fee
	\$ 710
x\$18=	\$
x\$80=	\$
+\$270=	\$
Total	\$

- ☐ Please charge my Deposit Account No. 23-1925 in the amount of \$ _____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
 - ☐ Any additional filing fees required under 37 CFR § 1.16.
 - ☐ Any patent application processing fees under 37 CFR §1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
 - ☐ Any filing fees under 37 CFR § 1.16 for presentation of extra claims.
 - ☐ Any patent application processing fees under 37 CFR § 1.17.
 - ☐ The issue fee set in 37 CFR § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR § 1.311(b).

Date

5/29/01

Gustavo Siller, Jr.
BRINKS HOFER GILSON & LIONE
Registration No. 32,305

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Akiyuki Yoshisato et al.)
Serial No. To Be Assigned)
Filing Date: Herewith)
For: Electronic Circuit Unit Suitable for)
Miniaturization)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to the obligation under 37 C.F.R. 1.56 and in conformance with 37 C.F.R. 1.97-1.99, Applicants hereby submit references A1 - A2 listed on the attached form PTO-1449 for consideration by the Examiner. Copies of the references are enclosed herewith.

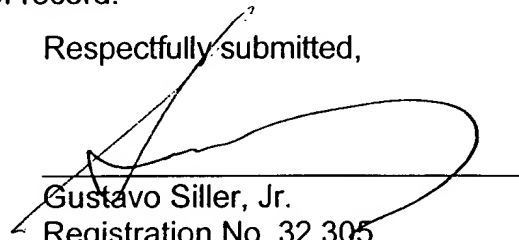
Japanese Unexamined Patent Publication No. Hei 6-53406 discloses a method for forming a thin film circuit element including a capacitor and an inductor element. Japanese Unexamined Patent Publication No. Hei 8-274441 discloses a structure in which a circuit element including a capacitor is formed and an electrode is connected to an electric circuit by means of wire bonding.

The filing of this Information Disclosure Statement does not constitute an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. Section 1.56(b). Further, Applicants reserve the right to contest these references as prior art against the present application, and Applicants do not believe that the disclosure of these references, even if finally determined to be prior art, anticipates Applicants' invention or that these references make Applicants' invention obvious.

No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925.

Applicants respectfully request that the Examiner review the entire disclosure of these documents and make them of record.

Respectfully submitted,



Gustavo Siller, Jr.
Registration No. 32,305
Attorney for Applicants

BRINKS HOFER GILSON & LIONE
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FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	SERIAL NO.	CASE NO.
	To be Assigned	9281-4101
	FILING DATE	GROUP ART UNIT
Herewith		
APPLICANTS: Akiyuki Yoshisato et al.		

REFERENCE DESIGNATION
U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES	NO
	A1	Japanese Unexamined Patent Publication No. Hei 6-53406	02/1994	Japan		X (Partial)	
	A2	Japanese Unexamined Patent Publication No. Hei 8-27441	10/1996	Japan		X (Partial)	

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.